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| <p>Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)</p> | <p>ATTY. DKT. NO. 5226-02700</p> <p>APPLICANT: John Bunda</p> <p>FILING DATE: October 26, 2004</p> <p>SERIAL NO. 10/014,948</p> <div style="text-align: center; margin-top: 10px;">  </div> <p>GROUP: 2164</p> |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | E3 | International Search Report, PCT/US02/18978, mailed May 21, 2003 |
| | E4 | International Search Report, PCT/US02/26471, mailed December 11, 2003 |
|  | E5 | International Search Report, PCT/US02/31239, mailed April 3, 2003 |
| BKS | E6 | International Search Report, PCT/US02/34357, mailed January 7, 2003 |

EXAMINER:

/Bijendra K Shrestha/

DATE CONSIDERED:

10/31/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

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|-------------------------|---|
| Title of Invention | METHOD AND SYSTEM FOR MANAGING DISPLAY OF QUOTES FOR SECURITIES FROM MULTIPLE SOURCES |
| Application Number: | 10/014948 |
| Confirmation Number: | 3658 |
| First Named Applicant: | John Bunda |
| Attorney Docket Number: | 5226-02700 |
| Art Unit: | 2164 |
| Examiner: | Unknown Unknown |
| Search string: | (5809483 or 5946666 or 6401105 or 6408282 or 6510419 or 20020188548 or 20020178099 or 20020194111 or 20020194110 or 20030065598 or 20030041006).pn. |

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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|-----|---|-------------|------------|-------|----|---|

Signature

| Examiner Name | Date |
|-----------------------|------------|
| /Bijendra K Shrestha/ | 10/31/2006 |



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Stylesheet Version v18.0

| Title of Invention | METHOD AND SYSTEM FOR MANAGING DISPLAY OF QUOTES FOR SECURITIES FROM MULTIPLE SOURCES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|------------|------------|------------------|------|-------|---------------|----------|-----------------------|------------|----------|------|-------|----------|-----|---|---------|------------|------|--|--|--|-----|---|---------|------------|----------------|--|--|--|-----|---|---------|------------|-------|--|--|--|-----|---|---------|------------|----------------|--|--|--|-----|---|---------|------------|-----------------|--|--|--|-----|---|---------|------------|------------------|--|--|--|
| <p>Application Number: 10/014948 Confirmation Number: 3658 First Named Applicant: John Bunda Attorney Docket Number: 5226-02700 Art Unit: 2164 Search string: (5193056 or 4752877 or 6415267 or 6029146 or 5297031 or 6035287).pn.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>Init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>BKS</td><td>1</td><td>5193056</td><td>1993-03-09</td><td>Boes</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>2</td><td>4752877</td><td>1988-06-21</td><td>Roberts et al.</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>3</td><td>6415267</td><td>2002-07-02</td><td>Hagan</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>4</td><td>6029146</td><td>2000-02-22</td><td>Hawkins et al.</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>5</td><td>5297031</td><td>1994-03-22</td><td>Guterman et al.</td><td></td><td></td><td></td></tr><tr><td>BKS</td><td>6</td><td>6035287</td><td>2000-03-07</td><td>Stallaert et al.</td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | Init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | BKS | 1 | 5193056 | 1993-03-09 | Boes | | | | BKS | 2 | 4752877 | 1988-06-21 | Roberts et al. | | | | BKS | 3 | 6415267 | 2002-07-02 | Hagan | | | | BKS | 4 | 6029146 | 2000-02-22 | Hawkins et al. | | | | BKS | 5 | 5297031 | 1994-03-22 | Guterman et al. | | | | BKS | 6 | 6035287 | 2000-03-07 | Stallaert et al. | | | |
| Init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BKS | 1 | 5193056 | 1993-03-09 | Boes | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BKS | 2 | 4752877 | 1988-06-21 | Roberts et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BKS | 3 | 6415267 | 2002-07-02 | Hagan | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BKS | 4 | 6029146 | 2000-02-22 | Hawkins et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| <p>Signature</p> <table border="1"><tr><td>Examiner Name</td><td>Date</td></tr><tr><td>/Bijendra K Shrestha/</td><td>10/31/2006</td></tr></table> | | | | | | | Examiner Name | Date | /Bijendra K Shrestha/ | 10/31/2006 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Examiner Name | Date | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| /Bijendra K Shrestha/ | 10/31/2006 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Electronic Version v18

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| Title of Invention | METHOD AND SYSTEM FOR MANAGING DISPLAY OF QUOTES FOR SECURITIES FROM MULTIPLE SOURCES | | | | | | | | | |
|---|--|------------|------------|-------------------|------|--|--|--|--|--|
| Application Number: | 10/014948 | | | | | | | | | |
| Confirmation Number: | 3658 | | | | | | | | | |
| First Named Applicant: | John Bunda | | | | | | | | | |
| Attorney Docket Number: | 5226-02700 | | | | | | | | | |
| Art Unit: | 2164 | | | | | | | | | |
| Search string: | (6477580 or 6421653 or 6446871 or 6247000 or 6272474 or 6173270 or 6321212 or 4566066 or 6505174 or 6195647 or 5101353 or 4942616 or 5915209 or 5999918 or 6112189).pn. | | | | | | | | | |
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| Note: Applicant is not required to submit a paper copy of cited US Patent Documents | | | | | | | | | | |
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| RECEIVED MAY 30 2003 GROUP 3600 | | | | | | | | | | |

Signature

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|-----------------------|------------|
| Examiner Name | Date |
| /Bijendra K Shrestha/ | 10/31/2006 |

| Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary) | ATTY. DOCKET NO: 5226-02700 | | | SERIAL NO: 10/014,948 | | |
|--|-------------------------------|--|-----------------------------|-----------------------|-------|--------------|
| | APPLICANT: John Bunda | | | | | |
| | FILING DATE: October 26, 2001 | | | GROUP: 2164 | | |
| U.S. PATENT DOCUMENTS | | | | | | |
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| EXAMINER: /Bijendra K Shrestha/ | | | DATE CONSIDERED: 10/31/2006 | | | |
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| | | | | | | | |
| O P E APR 09 2002 PATENT & TRADEMARK OFFICE 8950 | | | | | | | |
| APPLICANT: John Bunda | | | | | | | |
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| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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| ▼ | B9 | "Using the Order Entry Screen in Real Tick III," January 1999, pp. 1-2. | | | | | |
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| EXAMINER: /Bijendra K Shrestha/ EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner. | | | | DATE CONSIDERED: 10/31/2006 | | | |

Information Disclosure Statement--PTO 1449 (modified)

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